

Extracting longitudinal shower development information from crystal calorimetry plus tracking

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Abstract

We present an approach to derive longitudinal shower development information from the longitudinally unsegmented *BABAR* electromagnetic calorimeter by using tracking information. Our algorithm takes advantage of the good three-dimensional tracking resolution of *BABAR*, which provides an independent geometric constraint on the shower as measured in the *BABAR* crystal calorimeter. We show that adding the derived longitudinal shower development information to standard particle identification algorithms significantly improves the low-momentum separation of pions from electrons and muons. We also verify that the energy dependence of the electromagnetic shower development we measure is consistent with the prediction of a standard electromagnetic shower model.

Key words: Particle Identification, Longitudinal Shower Depth, Electromagnetic Calorimetry, Tracking

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1. Introduction

In present-day nuclear and particle physics experiments, inorganic scintillating crystals, such as NaI(Tl) and CsI(Tl), are often used to construct electromagnetic calorimeters when a precise measurement of the energy is required [1]. Crystal calorimeters can be finely segmented in the dimension transverse to the shower development without sacrificing energy resolution, thus providing a good measurement of the lateral shower development. However, engineering and energy resolution considerations prevent finely segmenting crystal calorimeters along the direction of shower development. Both lateral and longitudinal shower development information are useful in charged particle identi-

fication (PID) algorithms, particularly in electron identification. Because crystal calorimeters cannot provide direct longitudinal shower development information, they lose an important input to particle identification.

In this paper, we present a technique in which longitudinal shower development information is indirectly extracted from a longitudinally unsegmented crystal calorimeter in conjunction with a precise tracking system. This technique was developed for use with the *BABAR* [2] detector, but it can be applied at any detector which combines crystal calorimetry and precision tracking. It exploits the fact that *BABAR* has a tracking system capable of precisely determining the three-dimensional trajectory of charged particles, and the fact that these trajectories are not in general collinear with the crystal axes. A similar algorithm has previously been used for sampling calorimeters with fine lateral segmentation [3].

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We also explore the usefulness of the derived longitudinal shower information for particle identification at *BABAR*. We show that using this information as part of an electron identification algorithm improves the electron *vs.* pion discrimination, particularly at momenta below 600 MeV/*c*. We also show that this information can be generally used to improve the separation of the five most common charged particles (e, μ, π, K and p), owing to the different longitudinal shower development in a crystal calorimeter of these different particle types. In particular, we find that the longitudinal shower information significantly increases the minimum momentum reach at which muons can be separated from pions in *BABAR*.

We further verify that the energy dependence of the indirect electron longitudinal shower information behaves as expected from electromagnetic shower models.

2. The *BABAR* Detector

The *BABAR* detector consists of a tracking system surrounded by a dedicated PID device, a crystal calorimeter, and an array of flux return iron plates instrumented with muon detectors. A detailed description of the *BABAR* detector can be found in Ref. [2], here we briefly describe those systems important for the algorithms described in this paper.

The *BABAR* tracking system is composed of a silicon vertex tracker (SVT) comprising five layers of double-sided detectors and a 40-layer central drift chamber (DCH). Operating in a 1.5 T solenoidal magnetic field, it provides a transverse momentum (p_T) resolution

$$\frac{\sigma_{p_T}}{p_T} = (0.13 \pm 0.01)\% \cdot p_T \oplus (0.45 \pm 0.03)\% \quad (1)$$

for detecting charged particles, where p_T and its rms error σ_{p_T} are measured in GeV/*c*. Both the DCH and the SVT measure the specific ionization (dE/dx) of charged particles which pass through them. The dE/dx resolution from the DCH varies as a function of track polar angle, having an average value of around 11 % for the majority of physics processes that we study in *BABAR*. The dE/dx resolution from the SVT is typically about 16 %.

The *BABAR* ring-imaging Cherenkov detector (DIRC) provides dedicated charged particle identification in the central part of the detector. The polar angle coverage in the laboratory frame is

$-0.84 < \cos \theta < 0.90$. The Cherenkov angle resolution of the DIRC is measured to be 2.4 mrad, for the quartz refractive index of 1.473, which provides better than 3σ separation between charged kaons and pions over a broad kinematic range.

The *BABAR* electromagnetic calorimeter (EMC) consists of an array of 6580 CsI(Tl) crystals, which encloses the tracking system and DIRC. The crystals have a truncated trapezoidal shape, and are finely segmented in the plane transverse to particles coming from the nominal e^+e^- interaction point (IP), with a typical cross-section of $4.7 \times 4.7 \text{ cm}^2$ at the front and $6.0 \times 6.0 \text{ cm}^2$ at the back. The crystals range in depth between 16 and 17.5 radiation lengths (the radiation length of CsI(Tl) is 1.85 cm), with the crystal axis pointing back roughly to the IP. The EMC geometry can be approximately described as a central cylindrical barrel, divided into forward ($26.93^\circ < \theta \leq 90^\circ$) and backward ($90^\circ < \theta \leq 140.81^\circ$) regions, plus a conical forward endcap ($15.76^\circ < \theta \leq 26.81^\circ$). The crystals are staggered so that their front face presents a nearly normal surface to particles coming from the IP. The EMC covers about 90 % of the polar angle and all of the azimuth in the center-of-mass system of the collisions produced in PEP-II (see Fig. 1).

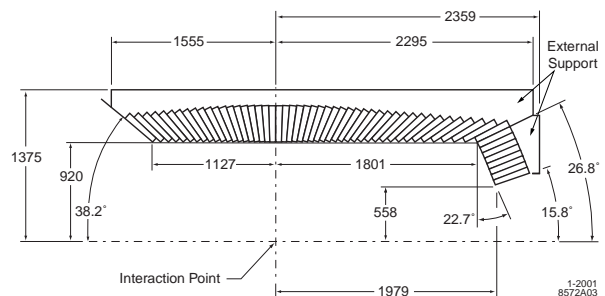


Fig. 1. Longitudinal cross section of the EMC showing the top half of the detector. All dimensions are given in mm.

The energy resolution of the calorimeter has been measured *in-situ* using a combination of radioactive sources, symmetric decays of π^0 and η , and Bhabha events, and can be described as:

$$\frac{\sigma_E}{E} = \frac{(2.30 \pm 0.30)\%}{\sqrt[4]{E(\text{GeV})}} \oplus (1.35 \pm 0.22)\%, \quad (2)$$

where E and σ_E refer to the shower energy and its rms error, measured in GeV. The angular resolution is limited by the transverse crystal size and the distance from the IP. It can also be parameterized as an energy-dependent function

$$\sigma_\theta = \sigma_\phi = \frac{(4.16 \pm 0.04)}{\sqrt{E(\text{GeV})}} \text{ mrad.} \quad (3)$$

The EMC is surrounded by a series of iron plates arranged as coaxial octagonal cylinders about the *BABAR* symmetry axis. These plates form a high-susceptibility path for the magnetic flux generated by the *BABAR* solenoid to close on itself. Between the iron plates are resistive plate chambers and limited streamer tubes with binary readout, used to track muons and provide crude neutral hadron detection. The innermost layers of muon chambers act effectively as a ‘tail catcher’ for the EMC, detecting particles from showers that leak out the back.

3. Longitudinal Shower Depth Variable

To derive longitudinal shower development information from *BABAR* we exploit the fact that most particles do not enter the calorimeter exactly parallel to the crystal axes. A non-zero entrance angle transforms the transverse crystal segmentation into an effective longitudinal segmentation, providing some depth information. Because the effective longitudinal segmentation is poor (often fractional) and different for every particle, we do not attempt a full parameterization of the longitudinal shower development. Instead, we characterize the shower by the first moment of its longitudinal development, which we call the *Longitudinal Shower Depth* (ΔL). The ΔL value is closely related to, but not identical to, the position of the electromagnetic shower maximum, as is discussed in Appendix A.

The ΔL variable is a geometric quantity which exploits the fact that the track and the cluster both sample different two-dimensional projections of the three-dimensional shower spatial distribution. When the track direction is not parallel to the crystal axis, these projections are not fully degenerate, and they can be combined to extract the otherwise unobservable, third (longitudinal) dimension.

Three effects are responsible for the fact that the track direction and the crystal axis are not collinear. First, the magnetic field bends the track as it passes through the tracking volume. Second, the width of the beamspot in the beam direction causes tracks from the IP to have a different polar angle from that of the axis of the crystal they strike. Finally, by design, the crystal axes of the *BABAR* calorimeter do not project perfectly back to the nominal IP, which reduces the chance of particles from the IP passing perfectly between crystals.

As part of computing ΔL we describe the calorimeter cluster as a directed line segment in space. We first compute the two-dimensional cluster centroid using the standard *BABAR* algorithm, which takes the weighted average of the crystal center positions at a nominal depth of 12 cm, using a logarithm of the crystal energy as weight [4]. We then compute the weighted average direction of the crystal axes, using the energy in each crystal as (linear) weight. The cluster line segment is defined to pass through the cluster centroid, and point in the average crystal direction. The starting point of the cluster line segment is taken as the average position of the crystal front faces projected along the average direction.

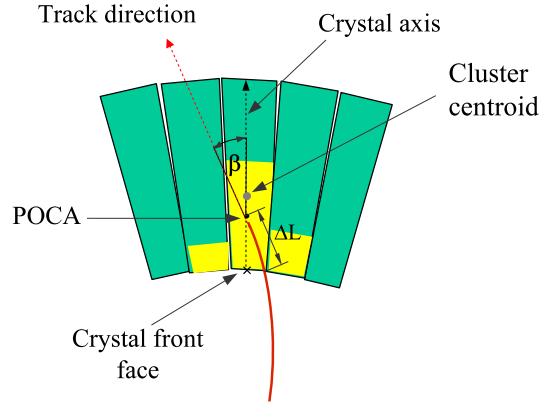


Fig. 2. (color online) Schematic view of how ΔL is calculated.

We then calculate the point of closest approach (POCA) in three dimensions between the extrapolated track trajectory and the cluster line segment, using an iterative algorithm. The POCA is the point where the track and cluster projections of the particle trajectory are most consistent.

Conceptually, we define ΔL as the path distance the track travels in the calorimeter’s sensitive volume in reaching the POCA. In practice, we define ΔL as the distance along the cluster line segment of the POCA, divided by the cosine of the angle between the track direction and the cluster line segment direction, given algebraically as:

$$\Delta L \equiv \frac{(\vec{r}_{\text{POCA}} - \vec{r}_{\text{Front}}) \cdot \hat{r}_{\text{Cluster}}}{\cos \beta} \quad (4)$$

where \vec{r}_{POCA} is the position of the POCA, \vec{r}_{Front} is a point on the front face of the crystal, \hat{r}_{Cluster} is a unit vector in the direction of the cluster line segment, and β is the angle between the track direction

and the cluster axis direction. This quantity approximates the material path distance, but is much simpler to compute. Our definition of ΔL ignores the effects of track curvature and crystal-face staggering, which are negligible on the scale of the resolution we achieve on ΔL . The definition of ΔL is presented graphically in Fig. 2.

4. Particle ID Control Samples

We evaluate the usefulness of ΔL for particle identification with the control samples of relatively pure electrons, pions, kaons, muons and protons. The considered control samples are selected from the data collected with the *BABAR* detector at the PEP-II asymmetric energy (3.1 GeV on 9.0 GeV) e^+e^- collider, operating near the $\Upsilon(4S)$ resonance which subsequently decays into a $B\bar{B}$ meson pair. In the following, we briefly outline the salient features of the control samples.

We select electrons¹ in both radiative and non-radiative Bhabha events [$e^+e^- \rightarrow e^+e^-(\gamma)$] by utilizing requirements on the energy deposit and shower-shape variables in the EMC, and by rejecting track candidates consistent with being muons. Based on Monte Carlo studies, the purity of this sample is found to be 99.9%. As Bhabha events provide mostly high momenta electrons, we consider the two-photon mediated process $e^+e^- \rightarrow (e^+e^-)\gamma^*\gamma^* \rightarrow (e^+e^-)e^+e^-$ to enhance statistics in the low-to-medium momentum range ($p < 3$ GeV/c). The selection requirement for this process provides a clean sample of electrons with purity comparable to that of the Bhabha events.

Pion candidates are selected from the decay process $K_S^0 \rightarrow \pi^+\pi^-$ and the $e^+e^- \rightarrow \tau^+\tau^-$ events with 3-1 track topology. The purity of the K_S^0 sample selection, determined with a mixture of simulated $B\bar{B}$ decays and $e^+e^- \rightarrow q\bar{q}$ continuum events, is found to be $\sim 99.5\%$. Pions from τ -pair events are affected by kaon contamination, having a purity of about 97%. However, this sample provides high-momentum pions not found in the $K_S^0 \rightarrow \pi^+\pi^-$ sample. The electron contamination in the τ -pair pion sample is very small.

In addition to the electron and pion control samples, we use kaons selected from the decay chain $D^{*+} \rightarrow D^0\pi^+$, $D^0 \rightarrow K^-\pi^+$; protons from the Λ decay, $\Lambda \rightarrow p\pi^-$; and muons selected in radiative

muon-pair events, $e^+e^- \rightarrow \mu^+\mu^-\gamma$. These samples have the best purity for the corresponding track-candidate selection in *BABAR*, which is comparable to that of the considered electron and pion samples.

5. Electron ID Performance

To test the impact of ΔL on electron identification, we start with an electron selector based on two standard variables: the ratio of the shower energy deposited in the calorimeter to the momentum of the track associated with the shower (E/p) and the lateral shower moment, defined as

$$LAT = \frac{\sum_{i=3}^N E_i r_i^2}{\sum_{i=3}^N E_i r_i^2 + E_1 r_0^2 + E_2 r_0^2}. \quad (5)$$

Here N is the total number of crystals associated to a shower, E_i is the energy deposited in the i -th crystal such that $E_1 > E_2 > \dots > E_N$, r_i the lateral distance between center of the shower and i -th crystal as defined earlier, and $r_0 = 5$ cm which is approximately the average distance between two crystals. Like ΔL , these variables involve calorimetry and tracking measurements only. By evaluating the incremental improvement given by adding ΔL to this selector, we test the impact of ΔL including the effect of possible correlations between ΔL and other similar variables.

We describe the electron identification performance in terms of the electron efficiency and the pion misidentification of the algorithm. We use the TMVA (Toolkit for Parallel Multivariate Data Analysis) package [5] to build a global likelihood function using E/p and LAT , together (or not) with ΔL .

To study the pion misidentification probability for a given value of electron ID efficiency, first we define a likelihood ratio, R_L , for each track candidate in the considered signal and background samples by:

$$R_L = \frac{L_S}{L_S + L_B}. \quad (6)$$

Here, the signal and background likelihoods (L_S , L_B) are products of corresponding probability density functions (p_S , p_B) of the three discriminating variables:

$$L_S(i) = \prod_{j=1}^3 p_{S,j}(i). \quad (7)$$

¹ Electrons denote both electrons and positrons.

After that, for a given value of the likelihood ratio, the signal identification efficiency and the background misidentification probability are calculated. This is done for different momentum bins, separately in the forward and the backward barrel, and the endcap regions, using the control samples of electrons and pions discussed in the previous section.

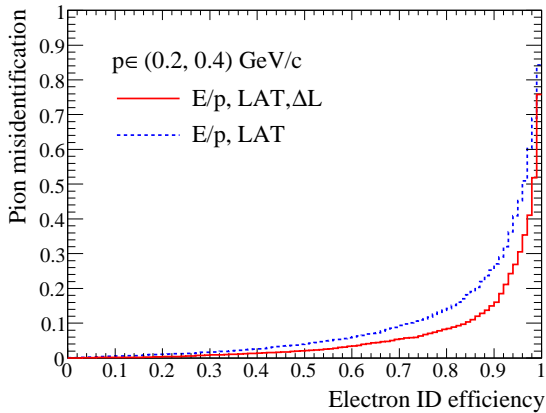


Fig. 3. (color online) Pion misidentification probability as a function of electron identification efficiency in the forward Barrel region for a typical low momentum bin: $0.2 < p \leq 0.4$ GeV/ c .

Table 1

Comparison of pion misidentification probabilities at 90% electron identification efficiency in the case where the likelihood function is defined with (or without) ΔL .

p in GeV/ c	Backward Barrel		Forward Barrel		Endcap	
	with	without	with	without	with	without
[0.2, 0.4]	25 %	34 %	16 %	27 %	5 %	7 %
[0.4, 0.6]	19 %	25 %	14 %	22 %		
[0.6, 0.8]	6 %	11 %	8 %	15 %		
[0.8, 1.0]	2 %	3 %	3 %	5 %		
[1.0, 2.0]	2 %	3 %	2 %	3 %	2 %	3 %
> 2.0	3 %	3 %	2 %	2 %		

Figure 3 shows the electron efficiency *vs.* pion misidentification probability for a typical low momentum bin ($0.2 < p \leq 0.4$ GeV/ c) in the forward barrel EMC. It is evident that for any given value of electron identification efficiency the likelihood function based on ΔL gives a lower pion misidentification compared to the case where ΔL is not included. Table 1 summarizes results obtained across the full kinematic range for various parts of the EMC. There is a clear improvement in the performance for the

backward and forward barrel regions, while for the endcap region (where high momenta particles are mostly abundant), we find a marginal improvement. This is because the discrimination power of ΔL diminishes with increasing energy.

6. Charged Particle ID Performance

The ΔL variable can also be used to enhance general charged particle identification, as it is sensitive to the differing longitudinal shower development of different particle types. This is demonstrated in Fig. 4, which plots ΔL for different species of particles, broken down into four track-momentum bins, using the *BABAR* data PID control samples described in Section 4.

Figure 4 shows a clear distinction between the ΔL distributions of different particle species, particularly for momenta below 600 MeV/ c . These distributions can be basically understood in terms of the different energy loss mechanisms at work; for instance, low momentum protons are highly-ionizing, and so deposit most of their energy early in the crystals. By contrast, electrons deposit their energy near shower maximum (roughly 10 cm), while muons with momenta above 200 MeV/ c are minimum ionizing and so distribute their energy uniformly along their path through the EMC. Finally, pions and kaons produce broad ΔL distributions, corresponding to the large variability of hadronic showers.

In this momentum range ΔL provides 0.8σ pion-muon separation², compared to 1.5σ separation from the DIRC, less than 0.1σ separation from either DCH or SVT dE/dx [8], and essentially no separation from E/p . Thus ΔL provides an useful cross-check to the DIRC when identifying muons at these momenta, and provides the best muon-pion separation for the 15% of the *BABAR* solid angle covered by tracking and calorimetry but not by the DIRC.

At momenta above 1.25 GeV/ c , the decrease in magnetic bending reduces the angle between the track direction and the crystal axis, degrading the resolution of ΔL . Additionally, the longitudinal profile of energy deposition for different particle types tends to converge in this momentum region. Some separation power still comes from different widths of ΔL distributions for electrons compared to other particles, but this is a weak discriminant compared

² We define σ as the difference between the average of the muon and pion ΔL distributions divided by the quadratic average of their rms, $\sqrt{(\sigma_\mu^2 + \sigma_\pi^2)/2}$.

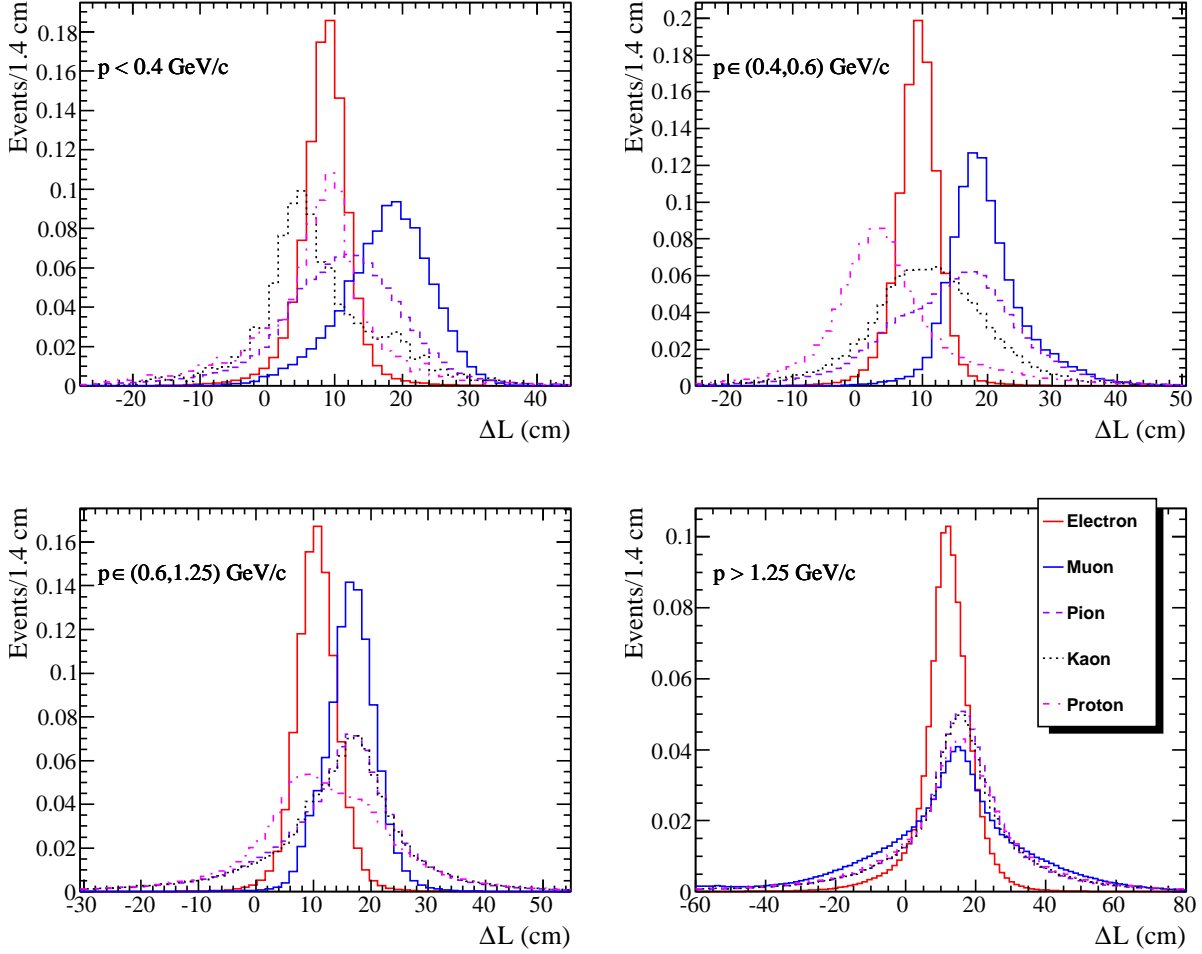


Fig. 4. (color online) Distributions of ΔL for different types of particles in different momentum bins, selected from the *BABAR* data control samples. Note the differences in the x -axis range. Each histogram has been normalized to unit area, to better show the ΔL distribution shapes.

to other PID variables available in this momentum region.

The impact of ΔL on muon identification at *BABAR* has been evaluated using a powerful muon selection algorithm which uses a *bagged decision tree* [9] to combine many input variables³. This algorithm was trained and evaluated using independent subsets of the data control samples described in Section 4. Compared to an older algorithm which does not use ΔL , the minimum muon momentum for which the selector has at least 50% efficiency (at a fixed pion misidentification probability) was reduced from 800 MeV/c to 270 MeV/c [8]. This improvement in low momentum muon selection ef-

ficiency is expected to have a significant impact on several important *BABAR* physics measurements.

7. Energy Evolution of Shower Depth

Due to the exponential nature of electromagnetic shower development, we expect the shower maximum position to evolve logarithmically with energy. A standard model describing the logarithmic energy dependence of longitudinal development is given in Ref. [6]. Because ΔL is closely related to shower maximum, we also expect that to evolve logarithmically with energy as well.

Figure 5 shows ΔL for electrons against the natural log of the electron energy in the *BABAR* data

³ This muon selector has 30 input variables.

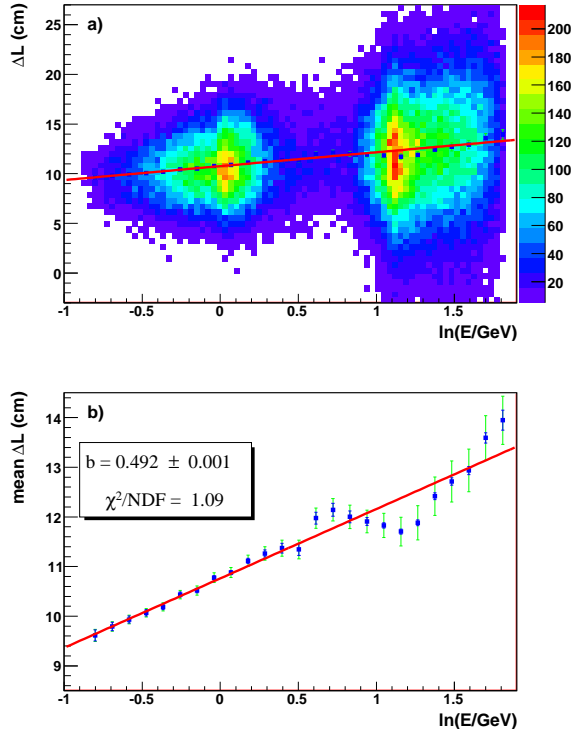


Fig. 5. (color online) a) Dependence of ΔL on energy for electrons in the *BABAR* data two-photon and Bhabha control samples. The points represent the mean from a Gaussian fit to x -slices of the full histogram. In b) The same points are shown with an expanded y scale. The overlaid fit is to a shower model function described in the text.

two-photon and Bhabha control samples described in Section 4. The electron energy is estimated as the track momentum calculated at the point where it enters the EMC. The two-photon data cluster at low energy, the Bhabha at high. The points are from slicing the data along the horizontal axis, fitting each slice to a Gaussian, and plotting the Gaussian mean value with its error. The points are fit to a function based on the shower model parameterization of ΔL for electrons described in Appendix A. This function has two free parameters: the material-dependent shower scale factor ‘ b ’, plus an overall shift in ΔL . The fit quality is reasonable, showing consistency of our data with the model. The shift value we obtain of 0.3 cm is consistent with that expected due to the roughly 0.2 % per cm light attenuation measured in the *BABAR* CsI(Tl) crystals. The value of the shower scale factor we obtain is $b = 0.492 \pm 0.001$, in good agreement with the value expected for CsI(Tl) of 0.492 ± 0.010 , where the error on the expected value comes from interpolation in

atomic number of the data provided in Ref. [7], plus the small residual energy dependence of this parameter over the relevant energy range.

The points in Fig. 5 show both the statistical (small bars) and total (statistical plus systematic, larger bars) errors. The dominant systematic error comes from the alignment of the EMC with respect to the *BABAR* tracking system, which we estimate has an uncertainty of 0.2 mrad in the rotation around the beam axis. Because this misalignment can depend on the track polar angle, and because the boost of PEP-II correlates energy with polar angle, we include this systematic as a point-by-point error. Other systematic effects are from hadron backgrounds in the sample, the uncertainty in the amount of material before the calorimeter, and changes in light attenuation in the crystals due to radiation damage. These latter are largely accommodated by allowing the depth offset parameter in the fit to float.

8. Monte Carlo Performance of ΔL

We have also studied ΔL in Monte Carlo events simulated in the *BABAR* detector, and compare it with real data. This provides a useful test of the shower development model used in simulation.

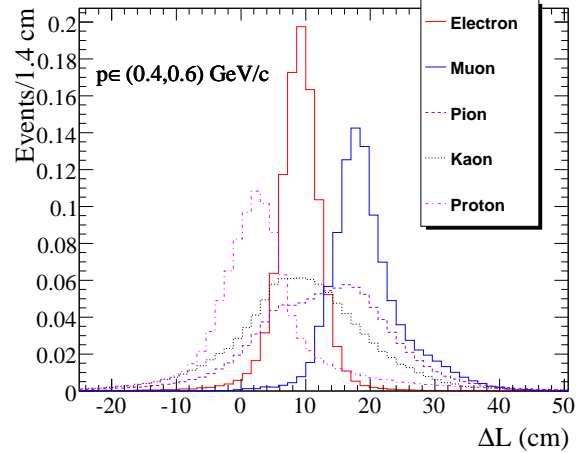


Fig. 6. (color online) Distributions of ΔL for different types of particles in the intermediate momentum bin $0.4 < p < 0.6$ GeV/c produced in Monte Carlo $B\bar{B}$ events processed by the *BABAR* detector simulation. The histograms are normalized to unit area to better display their shapes.

In Fig. 6 we show ΔL distributions for different species of particles selected in simulated control samples for the intermediate momentum bin ($0.4 <$

$p < 0.6 \text{ GeV}/c$), chosen because it shows maximum separation among various particles as discussed in Section 6. The Monte Carlo ΔL shapes are very similar to those observed in *BABAR* data (see Fig. 4), in this and other momentum regions.

Furthermore, we have verified the logarithmic dependence of ΔL on the incident electron's energy in simulated samples of electrons from Bhabha and two-photon processes. Fitting the ΔL vs. $\ln(E)$ distribution of these simulated electrons to the shower model function described in Appendix A gives a value for the shower scale factor $b = 0.493 \pm 0.001$, consistent with expectations for a CsI(Tl) calorimeter and with our measurements in *BABAR* data.

9. Conclusions

In this paper, we have presented a technique for extracting longitudinal shower development information from a crystal calorimeter in conjunction with a precision tracking system. When the derived quantity ΔL is used in electron identification, we have shown that the algorithm performance is significantly enhanced, especially in the low momentum region. We have also shown that ΔL can significantly improve particle separation for other types of particles, particularly muons and pions, in the low momentum region. By studying the energy evolution of ΔL for electrons in *BABAR*, we have established that it behaves consistently with expectations from a standard longitudinal shower model computation. We find that the *BABAR* simulation well reproduces the observed ΔL behavior.

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Appendix A. Energy-weighted shower depth

The mean longitudinal profile of the energy deposition in an electromagnetic shower can be well described by a gamma distribution [6]:

$$\frac{dE}{dt} = E_0 f(t) \text{ with } f(t) = \frac{1}{\Gamma(a)} (bt)^{a-1} b e^{-bt}, \quad (\text{A.1})$$

where t is the distance measured in units of radiation length X_0 , E_0 is the energy of the incident particle and E is the energy deposited by the particle at a certain distance t . Here b is a material-dependent shower scale factor [7], and $a \equiv (1 + b t_{\text{max}})$, where t_{max} denotes the shower maximum and is expressed as follows:

$$t_{\text{max}} = \ln(E_0/E_c) + C_j, \quad j = e, \gamma, \quad (\text{A.2})$$

where $C_e = -0.5$ for electron-induced showers and $C_\gamma = +0.5$ for photon showers. To a good approximation, the critical energy E_c is given as $E_c = 0.8 \text{ GeV}/(Z + 1.2) = 0.0145 \text{ GeV}$ [for CsI(Tl), average $Z = 54$].

We can then solve for the energy-weighted longitudinal shower depth, ΔL , by integrating the fractional energy deposition per radiation length in Eq. (A.1), $f(t)$, with the corresponding path length t :

$$\Delta L = \int_0^L t f(t) dt = \frac{1}{\Gamma(a)} \int_0^L (bt)^a e^{-bt} dt. \quad (\text{A.3})$$

Here L indicates the average length of the CsI(Tl) crystal in units of X_0 and varies between 16 to 17.5 for various regions of barrel and endcap EMC. For simplicity, we took L to be 17.

We note that the ΔL expression in Eq. (A.3) has a roughly logarithmic energy dependence [see the definition of a and Eq. (A.2)], and that the shower scale factor b is roughly independent of energy. We can therefore approximate the energy and material dependence of ΔL by Taylor expanding Eq. (A.3) in terms of $\ln(E)$ and b . Keeping only the leading linear terms:

$$\Delta L \approx 10.4 - 4.9\Delta b + (0.9 - 66.0\Delta b) \ln(E), \quad (\text{A.4})$$

where Δb is the deviation of b from a nominal value of 0.5. This expression can be used to describe the ΔL dependence on $\ln(E)$, for material with effective Z values near that of CsI(Tl).

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